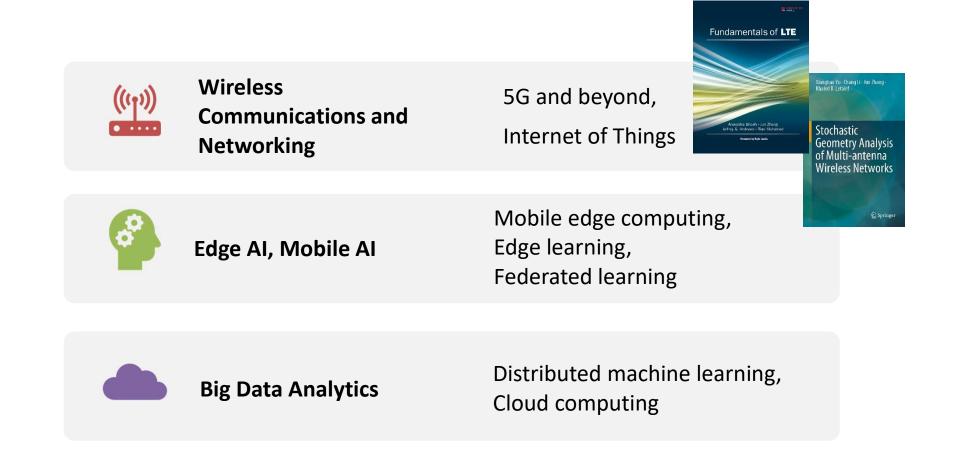
Deep Learning for Wireless Networks

-- Which Model to Use?

Jun ZHANG



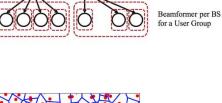
My Research Interests





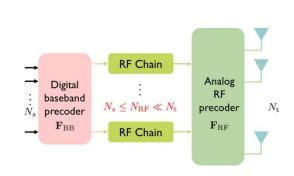
Interested in 5G?

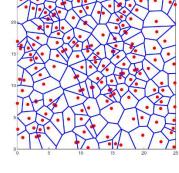
- Sparse and Low-Rank Optimization for Dense Wireless Networks
 - Tutorial at IEEE GLOBECOM 2017
- Tractable Analysis of Large-scale Multi-antenna Wireless Networks via Stochastic Geometry
 - Tutorial at WiOpt 2018
- Hybrid Beamforming for 5G Millimeter Wave Systems
 - Tutorial at IEEE GLOBECOM 2018



Aggregate Beamformer

Beamformer per BS





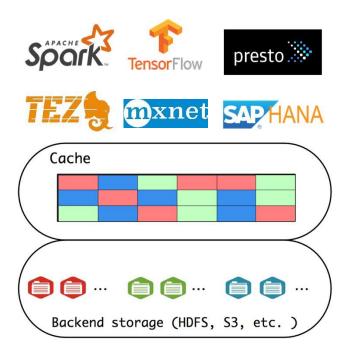
Edge Al



- Y. Mao, C. You, **J. Zhang**, K. Huang, and K. B. Letaief, "A survey on mobile edge computing: The communication perspective," *IEEE Commun. Surveys Tuts.*, vol. 19, no. 4, pp. 2322-2358, 4th Quart. 2017.
- G. Zhu, D. Liu, Y. Du, C. You, **J. Zhang**, and K. Huang, "Towards an intelligent edge: Wireless communication meets machine learning," submitted to IEEE Communications Magazine.
- J. Zhang, and K. B. Letaief, "Mobile Edge Intelligence and Computing for the Internet of Vehicles," submitted to Proc. IEEE.



Big Data Analytics – Cache Management



Cache is limited – What to cache?

Y.Yu, W.Wang, J. Zhang, and K. B. Letaief, "LRC: Dependency-aware cache management in data analytics clusters," in *Proc. IEEE* INFOCOM 2017. (Acceptance Rate: 20.93%)

Cache is shared resource – How to fairly share

cache among users?

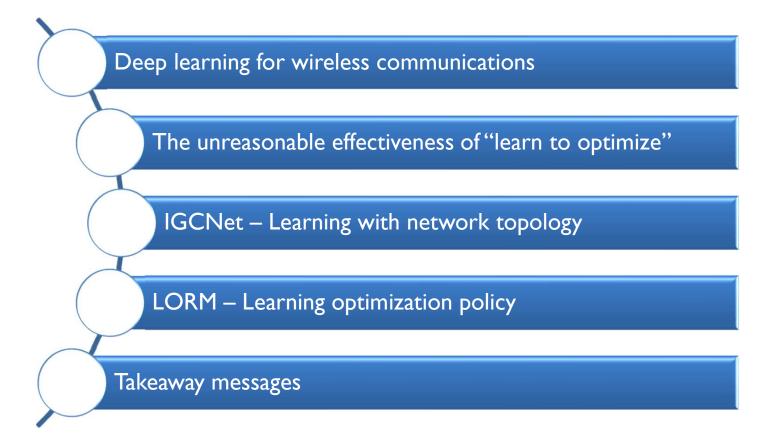
Y.Yu, W.Wang, J. Zhang, Q. Weng, and K. B. Letaief, "OpuS: Fair and efficient cache sharing for in-memory data analytics," in *ICDCS* 2018. (Acceptance Rate: 20%)

Distributed caches – How to balance the load?

- Y.Yu, R. Huang, W.Wang, J. Zhang, and K. B. Letaief, "SP-Cache: Load-balanced, Redundancy-free Cluster Caching with Selective Partition," in SC 2018. (Acceptance Rate: 19%)
- Y.Yu, W.Wang, J. Zhang, and K. B. Letaief, "LACS: Load-aware cache sharing with isolation guarantee," *ICDCS 2019*. (Acceptance Rate: 19.6%)

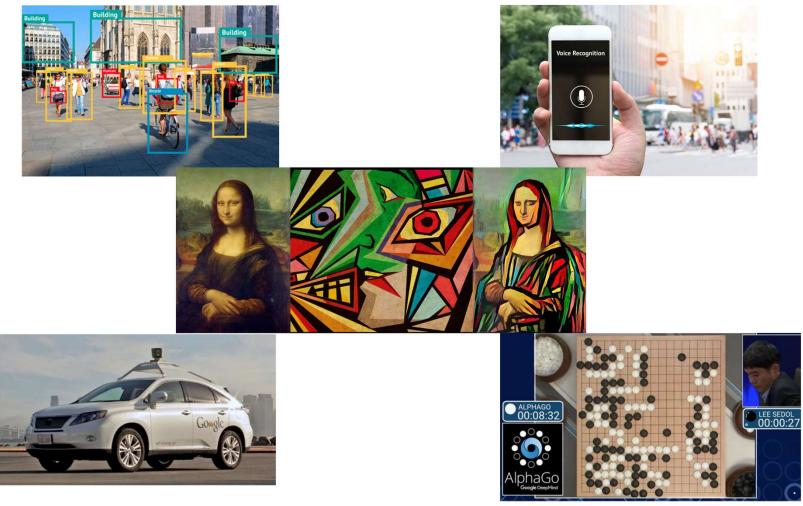


Outline

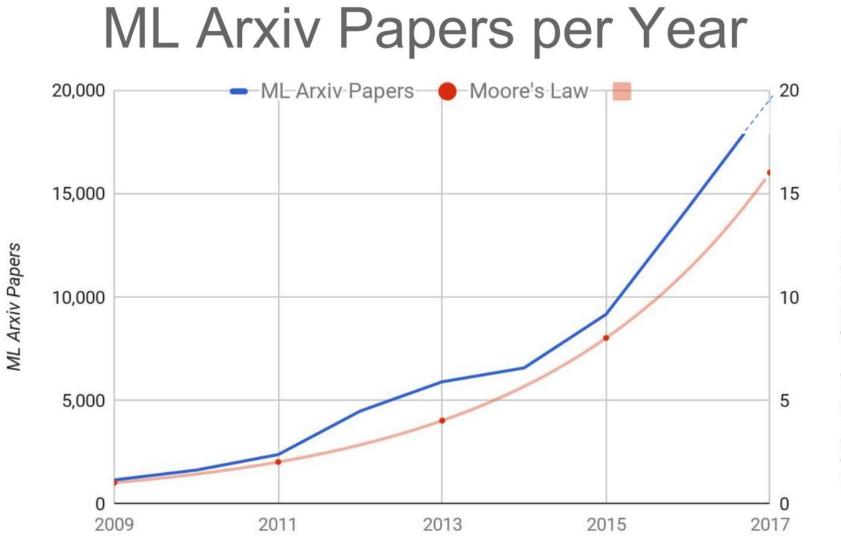




Successes of Deep Learning





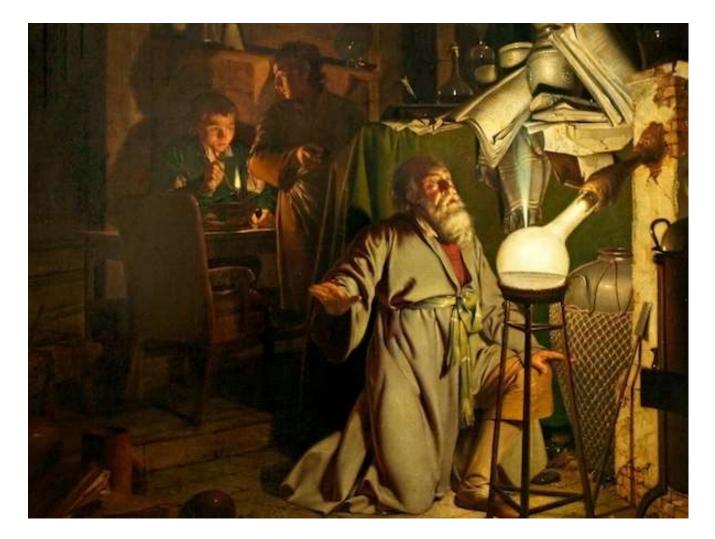


Year



8

Deep Learning: Alchemy or Science?





Deep Learning in Wireless Communications

Deep Learning for Wireless Physical Layer: Opportunities and Challenges Nov 2017

Tianqi Wang¹, Chao-Kai Wen², Hanqing Wang¹, Feifei Gao³, Tao Jiang⁴, Shi Jin^{1,*}

IEEE TRANSACTIONS ON COGNITIVE COMMUNICATIONS AND NETWORKING, VOL. 3, NO. 4, DECEMBER 2017

An Introduction to Deep Learning for the Physical Layer

Timothy O'Shea[®], Senior Member, IEEE, and Jakob Hoydis, Member, IEEE

Dec 2017

563

The Roadmap to 6G: AI Empowered Wireless Networks

Khaled B. Letaief, Wei Chen, Yuanming Shi, Jun Zhang, and Ying-Jun Angela Zhang

Aug 2019

Wireless Networks Design in the Era of Deep Learning: Model-Based, AI-Based, or Both?

To appear

To appear

Alessio Zappone, Senior Member, IEEE, Marco Di Renzo, Senior Member, IEEE, Mérouane Debbah, Fellow, IEEE (Invited Paper)

> Model-Driven Deep Learning for Physical Layer Communications

Hengtao He, Shi Jin, Chao-Kai Wen, Feifei Gao, Geoffrey Ye Li, and Zongben Xu



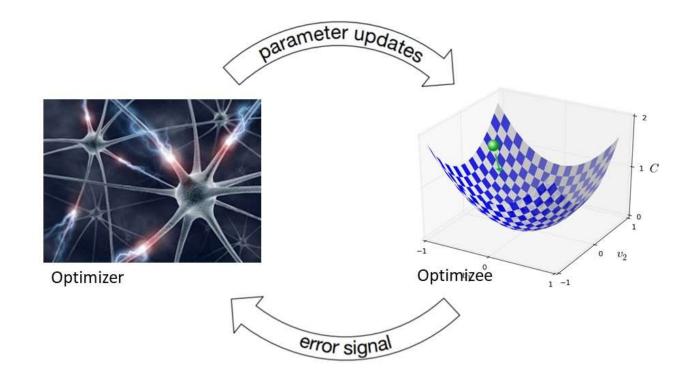
When can deep learning help?

- When it is difficult to obtain good models
 - Channel estimation in mmWave systems
 - Traffic prediction, user mobility
- When there is a lack of design methodology, but abundant data
 - Channel coding with feedback
 - Joint source-channel coding
- When conventional methods work, but too complex
 - Learn to optimize for resource management

The focus of this talk

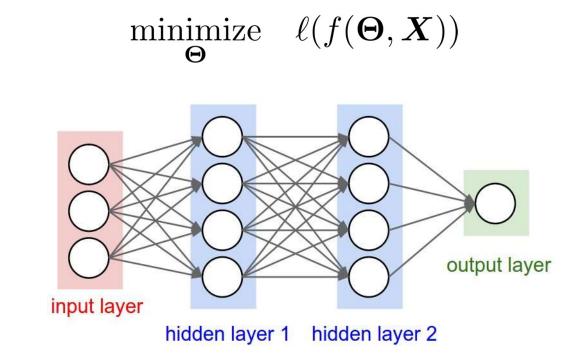


Unreasonable effectiveness of "learn to optimize"





Supervised Learning with Neural Networks



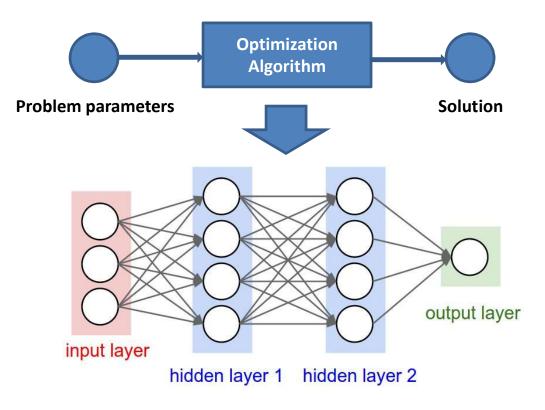
k-th layer's output $oldsymbol{g}^k = \operatorname{Relu}(oldsymbol{W}^koldsymbol{g}^{k-1} + oldsymbol{b}^k),$

 g^k is the output of k-th layer W^k, b^k the learned parameters $\operatorname{Relu}(\cdot) = \max(\cdot, 0)$



Learning to Optimize (L2O)

• Use machine learning techniques to find near-optimal solutions at affordable cost





Recent Interests From ML Community

rning to Search in	Branch-and-Bound Algorithms* NIPS 2014		
He He Hal Daumé III Department of Computer Scie University of Maryland College Park, MD 20740 {hhe, hal}@cs.umd.ed	nce Department of Computer Science Johns Hopkins University Baltimore, MD 21218		
Lea	arning Combinatorial Optimization Algorithms over Graphs	NIPS 2017	
	Hanjun Dai [†] *, Elias B. Khalil [†] *, Yuyu Zhang [†] , Bistra Dilkina [†] , Le Song ^{†§} [†] College of Computing, Georgia Institute of Technology [§] Ant Financial {hanjun.dai, elias.khalil, yuyu.zhang, bdilkina, lsong}@cc.gatech.edu		
40	Learning to Branch		ICML 2018
_	Maria-Florina Balcan ¹ Travis Dick ¹ Tuomas Sandholm ¹ Ellen Vitercik ¹		
	Machine Learning for Combinatorial Optimizat a Methodological Tour d'Horizon*		cent survey
	Yoshua Bengio ^{2,3} , Andrea Lodi ^{1,3} , and Antoine Prouvost ^{1,3}	ki.	



Optimization Problems are Ubiquitous in Wireless Networks



Input

- CSI
- QoS requirement
- Resource constraints
- etc

Output

- Resource allocation
- Detection results
- Estimates
- etc

Challenges

- Large problem size
- Non-convexity
- Real-time execution
- Uncertainty in parameters
- etc

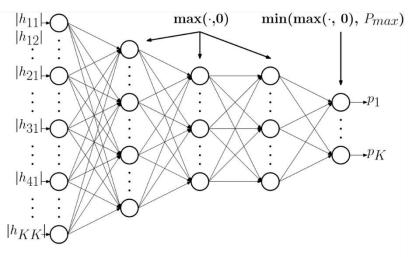


An attempt in wireless networks

$$\max_{p_1,\dots,p_K} \sum_{k=1}^K \alpha_k \log \left(1 + \frac{|h_{kk}|^2 p_k}{\sum_{j \neq k} |h_{kj}|^2 p_j + \sigma_k^2} \right)$$

s.t. $0 \le p_k \le P_{\max}, \ \forall \ k = 1, 2, \dots, K,$

- WMMSE is a classic algorithm, good performance but slow
- To speed up, use multi-layer perceptron (MLP) to approximate the output of WMMSE [Sun I 8TSP]



input layer multiple hidden layers output layer



In theory, it works

Theorem 2 Suppose that WMMSE is randomly initialized with $(v_k^0)^2 \leq P_{\max}$, $\sum_{i=1}^{K} v(h)_i^0 \geq V_{\min}$, and it is executed for T iterations. Define the following set of 'admissible' channel realizations

$$\mathcal{H} := \left\{ h \mid H_{\min} \le |h_{jk}| \le H_{\max}, \forall j, k, \sum_{i=1}^{K} v(h)_i^t \ge V_{\min}, \forall t \right\}.$$

Given $\epsilon > 0$, there exists a neural network with $h \in \mathbb{R}^{K^2}$ and $v^0 \in \mathbb{R}^K_+$ as input and $NET(h, v^0) \in \mathbb{R}^K_+$ as output, with the following number of layers

$$O\left(T^2 \log\left(\max\left(K, P_{\max}, H_{\max}, \frac{1}{\sigma}, \frac{1}{H_{\min}}, \frac{1}{P_{\min}}\right)\right) + T \log\left(\frac{1}{\epsilon}\right)\right)$$

and the following number of ReLUs and binary units

$$O\left(T^{2}K^{2}\log\left(\max\left(K, P_{\max}, H_{\max}, \frac{1}{\sigma}, \frac{1}{H_{\min}}, \frac{1}{P_{\min}}\right)\right) + TK^{2}\log\left(\frac{1}{\epsilon}\right)\right),$$

such that the relation below holds true

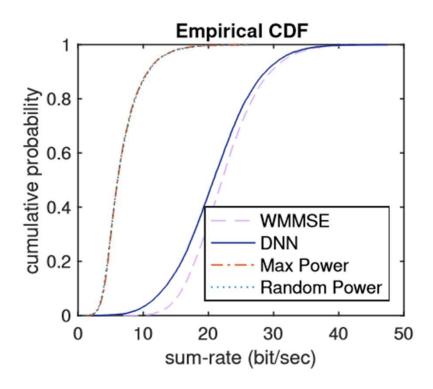
$$\max_{h \in \mathcal{H}} \max_{i} |(v(h)_{i}^{T})^{2} - NET(h, v^{0})_{i}| \le \epsilon$$
(15)

 If 10 users, T=20 and K=10, we need 400 layers of neural network and each layer contains 40000 neurons.



In practice, unreasonably effective

• A 3-layer neural network with [200,80,80] neurons in each layer achieves 97% sum rate compared to training labels





The problem is solved





Limitation – Poor Scalability

• Performance deteriorates dramatically when the network size becomes large.

	average sum-rate (bit/sec.)			
# of users (K)	DNN	WMMSE	DNN/WMMSE	
10	2.770	2.817	98.33%	
20	3.363	3.654	92.04%	
30	3.498	4.150	84.29%	



Other Limitations of "End-to-end" Learning

• Huge amounts of samples

- 20,000 ~ 100,000,000 samples
- Optimal labels are difficult to generate
- Cannot outperform labels
 - Performance limited by the (sub-optimal) algorithm to generate samples
- Difficulty in constrained problems
 - Neural networks are unaware of constraints
- Weak generalization
 - Output dimension of neural networks must be fixed



Another approach: Unsupervised Learning

• Consider sum rate maximization

$$\begin{array}{ll} \underset{p}{\text{maximize}} & \sum_{k=1}^{K} w_k \log_2 \left(1 + \frac{|h_{kk}|^2 p_k}{\sum_{i \neq k} |h_{ki}|^2 p_i + \sigma_k^2} \right) \\ \text{subject to} & 0 \leq p_k \leq P_{\max}, \forall k, \end{array}$$

- Use a neural network to learn the mapping form channel matrix to power ${m p}=f_{\rm NN}({m H},{m w})$
- The empirical loss function is

$$\underset{\boldsymbol{p}}{\text{minimize}} \quad -\sum_{j=1}^{N} \sum_{k=1}^{K} w_k \log_2 \left(1 + \frac{|h_{kk}|^2 f_{\text{NN}}(\boldsymbol{H}_j, \boldsymbol{w}_j)_k}{\sum_{i \neq k} |h_{ki}|^2 f_{\text{NN}}(\boldsymbol{H}_j, \boldsymbol{w}_j)_i + \sigma_k^2} \right)$$

- N is the number of samples



Supervised vs. Unsupervised

- Supervised
 - Need a traditional algorithm to generate labels
 - MSE loss is often used as loss function
 - Easy to train
- Unsupervised
 - Only channel data is needed (without labels)
 - The objective function of optimization is used as the function of neural network
 - Hard to train

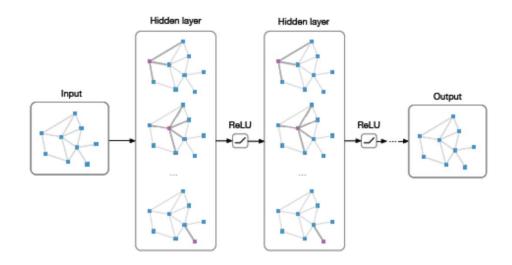


This Talk

- To improve from "end-to-end" learning
 - Which model to use? MLP? CNN?
 - What to learn?
- I. Learn to optimize with graph neural networks
 - To exploit network structure
- 2. Learn optimization policy of a specific algorithm
 - To exploit algorithm structure



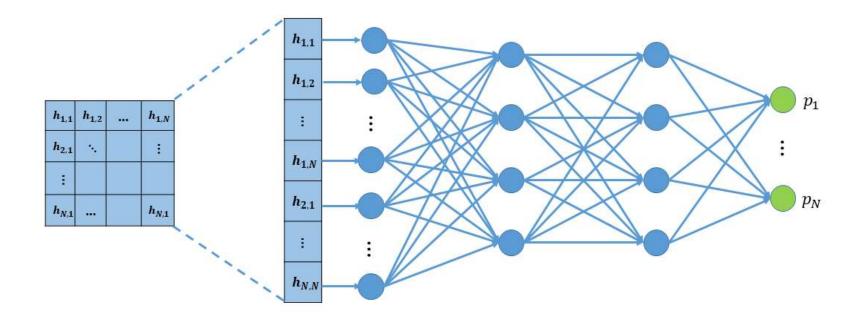
Learn to optimize with graph neural networks -- exploit network topology





Why MLP is not good enough?

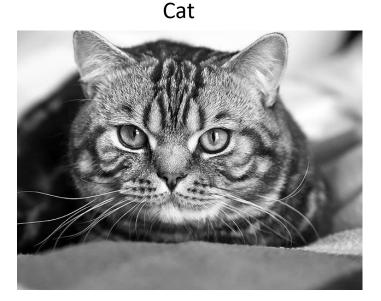
• We flatten the input into vectors before we feed it into the neural network, thus structure information is lost.



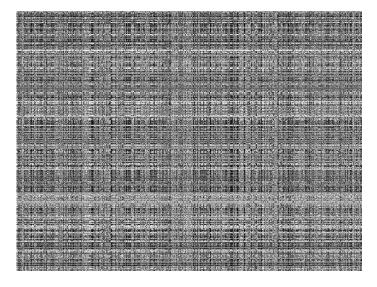


Why MLP is not good enough?

- For example, "structure information" in image processing means the nearby pixels in an image are meaningful
 - But the following two inputs are equivalent for MLP
 - Most of the efforts are spent on discovering the structure



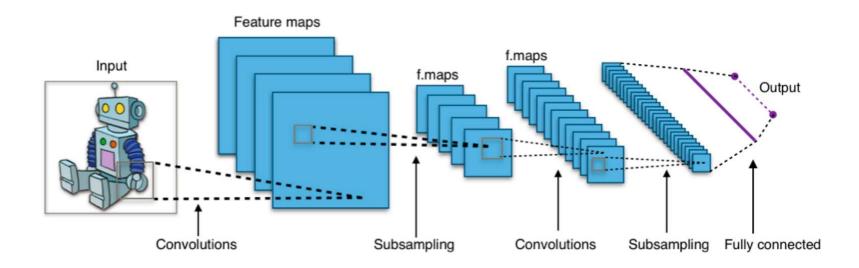
Cat with shuffled pixels





CNN for image processing

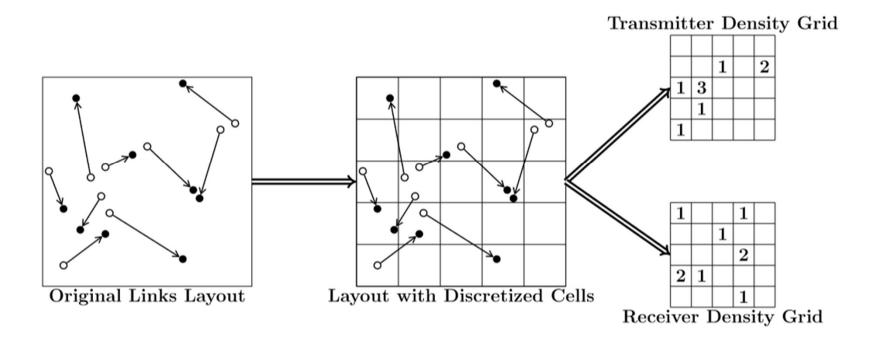
- To capture neighborhood information, CNN is proposed for image processing and achieves significant success.
 - It is able to exploit the shift-invariance, local connectivity, and compositionality of image data.





Spatial Convolution for Wireless Communication

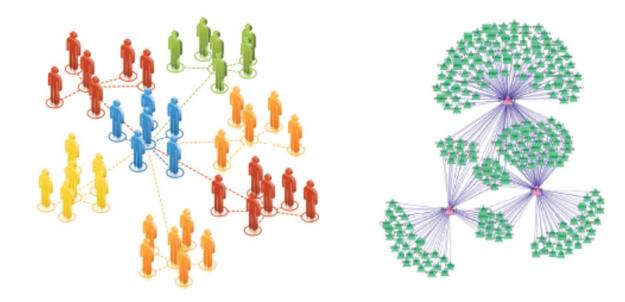
• Spatial convolution [Cuil9JSAC] leverages the geometry of users' locations: nearby users cause the strongest interference.





Drawbacks of Spatial Convolution

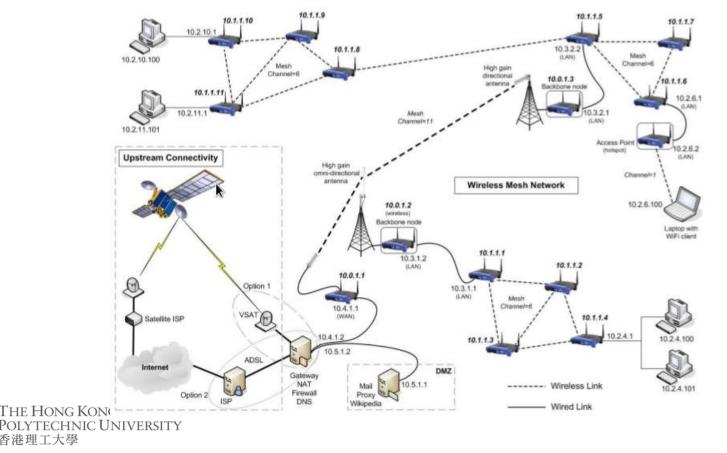
- CNN is designed for Euclidean data
 - Only geolocation can be used as the input, not CSI, distance, or largescale fading, leading to bad performance when fading exists.
 - Can not directly be applied for weighted sum rate maximization.
 - Can not utilize the topology of the links.





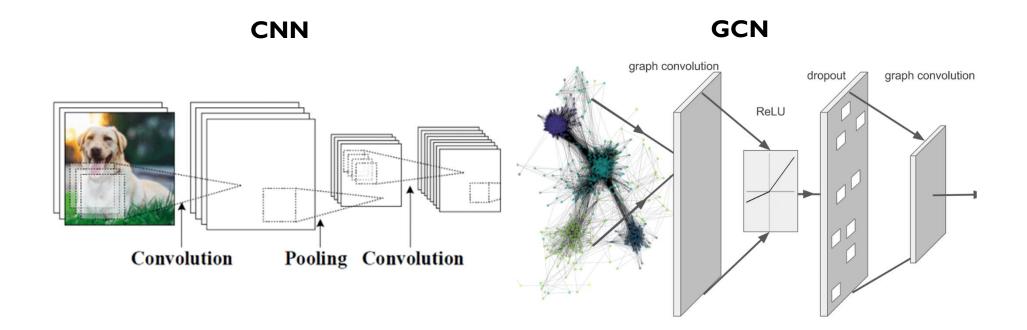
Structure Information for Networks

- Network topology
 - The topology can be naturally modelled as a graph
 - The network topology can be exploited if we use neural networks on graph



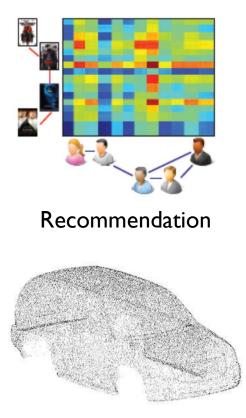
Graph Neural Networks

- Like MLP or CNNs, GNNs have layer-wise structures
 - For each layer in CNN, a 2D convolution is applied
 - For each layer in GCN, a graph convolution is applied

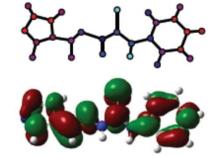




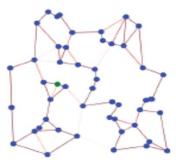
Applications of Graph Neural Networks



Point clouds



Chemistry

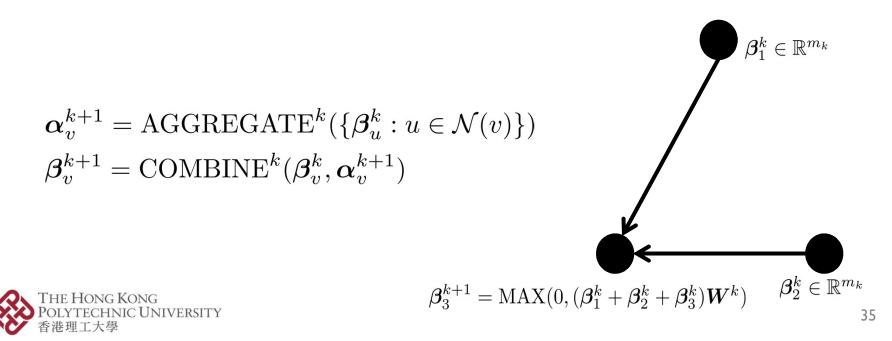


Graph problems



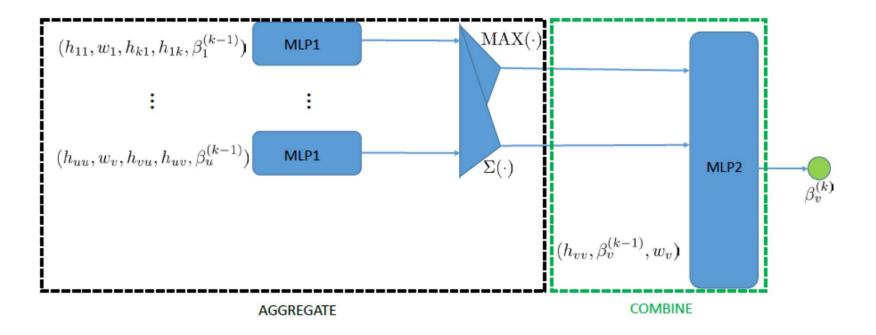
Key Design Steps of GNNs

- In each layer, each node aggregates the information from its neighbors
 - $oldsymbol{eta}_v^k \in \mathbb{R}^{m_k}$ denotes the feature vector for node v in k-th NN layer
 - $\mathcal{N}(u)$ denotes the set containing all neighbors of u
 - An aggregation function to aggregate features from neighbors
 - A combination function to combine the feature of neighbors



Proposed IGCNet

• Interference Graph Convolutional Networks (IGCNet)



Code available: <u>https://github.com/yshenaw/Globecom2019</u>



Motivation of IGCNet

- Compared with existing works in wireless communications
 - It utilizes network topology
 - It utilizes various kinds of info, e.g., CSI, large-scale fading, distance
 - It achieves stable performance with varying network sizes
- Compared with existing works of GNNs
 - Existing works of GNN can not deal with edge features, e.g., GCN [Kipf17ICLR], GIN [Xu19ICLR], structure2Vec [Dai16ICML],
 - or too complicated and slow, e.g., NRI [Kipf18ICML], GAT [Shang18arxiv]



Simulations

- Different methods
 - IGCNet: Proposed method
 - WMMSE: The most popular optimization-based method [ShillTSP]
 - MLP: use MLP to approximate WMMSE [Sun I8TSP]
 - DPC: use CNN to approximate WMMSE [Lee 18CL]
 - PCNet: MLP with unsupervised training [Liang 18arxiv]
 - Baseline: Activate pairs with largest channel gains, the simplest method and ignoring the interference
 - Note: we omit methods that can only handle geolocation or distance inputs [Cuil9JSAC][Lee19arxiv]



Simulations – Scalability

- Maintaining the performance when the network size grows
 - The decision is made locally at each node, less impact from the scale
 - K: number of users

TABLE II AVERAGE SUM RATE UNDER EACH SETTING. THE RESULTS ARE NORMALIZED BY THE SUM RATE ACHIEVED BY WMMSE.

	IGCNet	MLP	PCNet	DPC	Baseline
K = 10	102.6%	98.2%	101.4%	95.1%	89.1%
K = 20	102.7%	92.3%	90.2%	83.1%	86.6%
K = 30	102.4%	85.3%	87.6%	79.3%	84.4%



Simulations – Robustness

• Robustness to imperfect CSI

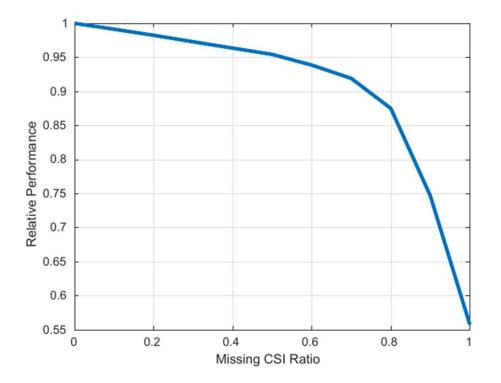


Fig. 5. The relative performance versus the missing ratio of CSI.

THE HONG KONG POLYTECHNIC UNIVERSITY 香港理工大學

Simulations – Computation Efficiency

- Fast computation
 - WMMSE involves many iterations, and each iteration is $O(K^2)$
 - The total complexity of neural networks is $O(K^2)$

TABLE IV

AVERAGE RUNNING TIME FOR THE ALGORITHMS UNDER EACH SETTING (IN MILLISECONDS).

	K = 10	K = 20	K = 30
IGCNet	0.14ms	0.27ms	0.48ms
WMMSE	9.31ms	24.1ms	31.4ms



Benefits of IGCNet

- Maintaining the performance when the network size grows
 - Scalable
- Able to handle non-Euclidean features
 - Incorporate CSI and handle weighted objective functions
- Robust to missing data
 - Robust to CSI uncertainty
- Fast computation
 - Real-time execution
- Few training samples without labels
 - Easy to implement



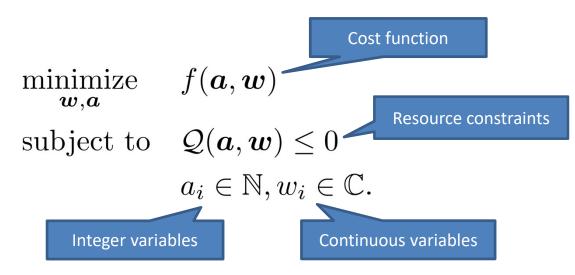
LORM: Learn to optimize with few samples





Learning for Mixed Integer Nonlinear Program

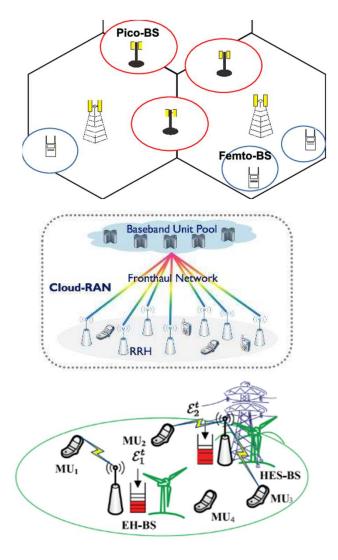
• Mixed Integer Nonlinear Programming (MINLP) Problems



- NP-hard because of the combinatorial variables
- Both continuous and discrete variables
- Many resource allocation problems are MINLP



Typical MINLP Resource Management Problems





- User Association in HetNets
 - Q.Ye, B. Rong, Y. Chen, M. Al-Shalash, C. Caramanis, and J. G. Andrews, "User association for load balancing in heterogeneous cellular networks," IEEE Trans. Wireless Commun., vol. 12, pp. 2706–2716, Jun. 2013.
- Power Minimization in Cloud RANs
 - Y. Shi, J. Zhang, and K. B. Letaief, "Group sparse beamforming for green Cloud-RAN," IEEE Trans. Wireless Commun., vol. 13, no. 5, pp. 2809-2823, May 2014.
- Computation off-loading in MEC
 - Y. Mao, J. Zhang, and K. B. Letaief, "Dynamic computation offloading for mobile-edge computing with energy harvesting devices," IEEE J. Sel. Areas Commun., vol. 34, pp. 3590–3605, Dec. 2016.

Basic Algorithmic Approaches

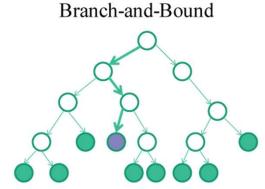
- Global optimization algorithms
 - exponential time complexity
 - only work for very small problems
- Heuristic algorithms
 - Examples: greedy algorithm for user selection or sub-optimal algorithm like zero-forcing
 - hard to design good ones
 - non-negligible gap to the optimal solution
 - difficult to meet real-time requirement



Different ways to "learn to optimize"

- End-to-end-learning ٠ Directly learn the input-output mapping Output Input output layer input layer hidden layer 1 hidden layer 2
 - Needs large model, many samples
 - Different to handle constraints

- **Optimization policy learning**
 - Learn the optimal policy in a specific algorithm

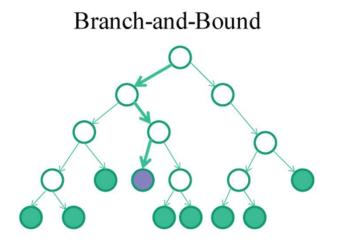


- Exploits algorithm structure, requires few samples
- Capable to handle constraints



Branch-and-Bound

• A global optimization algorithm for MINLP



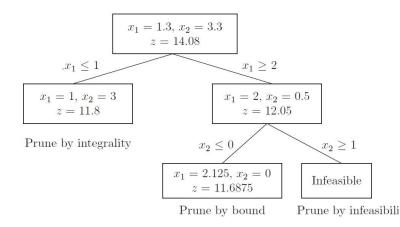
- Three policies:
 - Node selection policy: select a node in binary search tree
 - Variable selection policy: select a variable to branch
 - Prune policy: whether to expand two children or not (time is saved if not expand)



Branch-and-Bound

Branch-and-Bound Algorithm

 $\begin{array}{rll} \max & 5.5x_1 + 2.1x_2 \\ & -x_1 + & x_2 & \leq 2 \\ & 8x_1 + & 2x_2 & \leq 17 \\ & x_1, \, x_2 \geq 0 \\ & x_1, \, x_2 \text{ integer.} \end{array}$



0. Initialize

$$\mathcal{L} := \{N_0\}, \, \underline{z} := -\infty, \, (x^*, y^*) := \emptyset$$

1. Terminate?

If $\mathcal{L} = \emptyset$, the solution (x^*, y^*) is optimal.

2. Select node

Choose a node N_i in \mathcal{L} and delete it from \mathcal{L} .

3. Bound

Solve LP_i. If it is infeasible, go to Step 1. Else, let (x^i, y^i) be an optimal solution of LP_i and z_i its objective value.

4. Prune

If $z_i \leq \underline{z}$, go to Step 1.

If (x^i, y^i) is feasible to MILP, set $\underline{z} := z_i$, $(x^*, y^*) := (x^i, y^i)$ and go to Step 1.

Otherwise:

5. Branch

From LP_i, construct $k \geq 2$ linear programs LP_{i1},..., LP_{ik} with smaller feasible regions whose union does not contain (x^i, y^i) , but contains all the solutions of LP_i with $x \in \mathbb{Z}^n$. Add the corresponding new nodes N_{i_1}, \ldots, N_{i_k} to \mathcal{L} and go to Step 1.

[Conforti, et al., 2014]

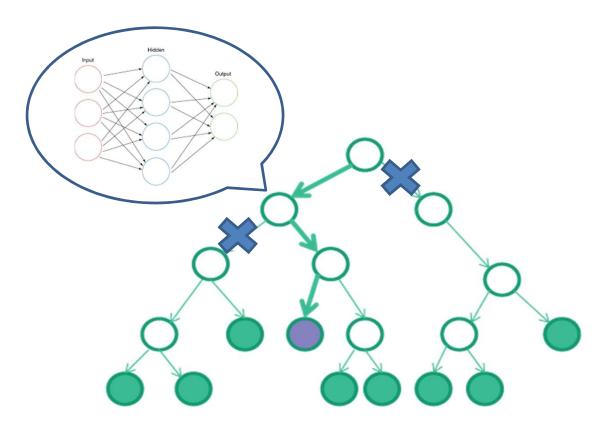


Learn to Prune

- Prune policies
 - Prune by bound: the lower bound is worse than best integer solution
 - Prune by infeasibility: the relaxed problem is infeasible
 - Prune by integrality: the relaxed problem has an integer solution
- Most of the time spent on checking non-optimal nodes
- Insight: We want a good enough solution rather than optimality guarantee
 - Learning Pruning Policy Classification



Learn to Prune





Imitation Learning

- Imitation learning to learn optimization policy
 - Imitation learning mimics an optimal policy
 - In learning the pruning policy, the optimal policy only preserves nodes containing the optimal solution
- Vs supervised learning
 - Iteratively collect new data and training better generalization
- Vs reinforcement learning
 - Learn directly from the optimal policy rather than indirectly from the reward – lower sample complexity

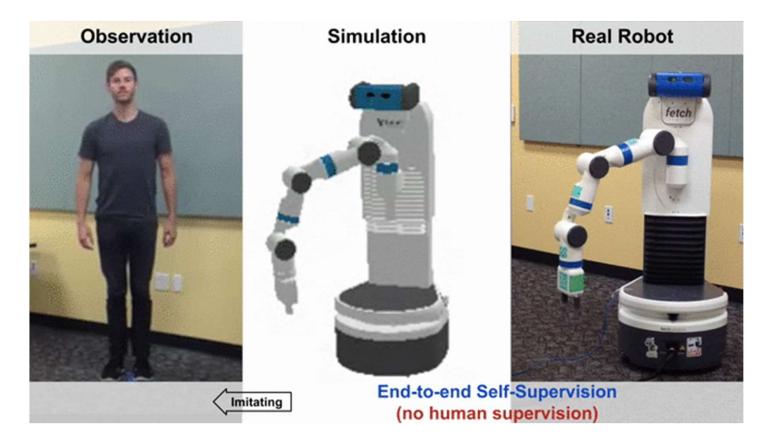
Foundations and Trends[®] in Robotics
Vol. 7, No. 1-2 (2018) 1–179
© 2018 T. Osa, J. Pajarinen, G. Neumann, J. A. Bagnell, P. Abbeel and J. Peters
DOI: 10.1561/2300000053

> An Algorithmic Perspective on Imitation Learning



Imitation Learning

• Mimic an optimal behavior

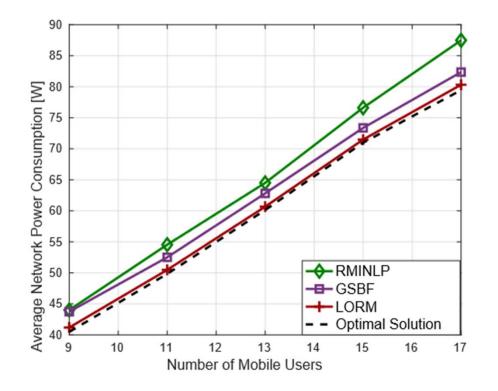




sermanet.github.io/imitation/ 53

Simulation Results – Better Performance

Network power minimization in C-RANs



- **GSBF**: State-of-art
- **RMINLP**: Heuristic method
- Branch-and-Bound
- LORM: Proposed method
 - 50 training samples
 - Near optimal solution



Simulation Results – Computation Speedup

Setting	Branch-and-Bound	LORM	GSBF	RMINLP	
L = 10, K = 13, TSINR = 4	91.76s	0.892s	2.562s	5.264s	
L = 10, K = 15, TSINR = 4	96.40s	1.157s	3.680s	8.136s	
L = 10, K = 17, TSINR = 4	142.0s	2.920s	5.474s	12.64s	

- Use only 50 problem instances easy for training
- 70x speedup to the branch-and-bound.
- 2x speedup to the state-of-the-art method.



Simulation Results – Generalization

The Gap to the Optimal Objective Value

Setting	LORM (full training)	LORM (train on $L = 6$, $K = 9$, TSINR = 0)	RMINLP	GSBF
L = 10, K = 7, TSINR = 4	1.87%	4.39%	7.94%	12.9%
L = 10, K = 9, TSINR = 4	1.73%	2.97%	8.71%	8.04%
L = 10, K = 11, TSINR = 4	1.30%	1.72%	9.44%	5.36%
L = 10, K = 13, TSINR = 4	1.41%	1.41%	7.27%	4.45%
L = 10, K = 15, TSINR = 4	0.70%	1.48%	7.94%	3.36%



Advantages of LORM

- Near-optimal performance with few training samples
 - By learning the optimization policy via imitation learning
- It outperforms the non-optimal labels
 - Obtaining a better solution by pruning fewer nodes
- It guarantees feasibility of constraints
 - Retain algorithm structure
- It generalizes to different system configurations, and is able to scale up to larger problem sizes
 - The input and output dimensions of the pruning policy are invariant to problem sizes



Conclusions





Conclusions

- Learn to optimize with network topology
 - Graph neural network (GNN) based approach
 - Scalable to large network sizes
 - Unsupervised (no need for labelling)
- Learn optimization policies
 - Able to handle general MINLP problems
 - Supervised (few labelled samples)
 - Able to outperform labels
 - Constraint guarantee
 - Good generalization



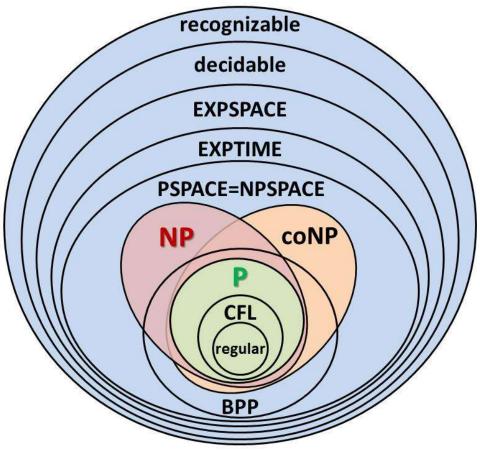
Conclusions

- Great potentials of "learn to optimize" for wireless
 - Higher computational efficiency
 - Avoid hand-crafted algorithm design
 - Close-to-optimal performance
- Key takeaways
 - End-to-end learning is not efficient
 - Do not directly apply MLP or CNN
 - Exploit structures
 - Network structure (IGCNet)
 - Algorithm structure (LORM)



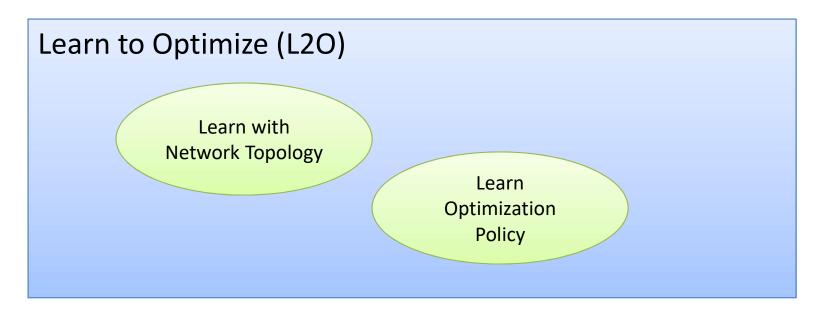
One Picture to Recall

• A big universe of problems





One Slide to Take Away



- I. Figure out what problems can be solved via L2O
- 2. Find effective "simple" models to solve them
 - Few samples
 - Easy to train
 - High computational efficiency



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Thank you!

• For more details

http://www.eie.polyu.edu.hk/~jeiezhang/

